

Revised Risk Management Strategy					
Policy	<input type="checkbox"/>	Strategy	<input type="checkbox"/>	Plan	<input type="checkbox"/>
Policy	<input type="checkbox"/>	Strategy	<input type="checkbox"/>	Plan	<input type="checkbox"/>
Policy	<input type="checkbox"/>	Strategy	<input type="checkbox"/>	Plan	<input type="checkbox"/>
Policy	<input checked="" type="checkbox"/>	Strategy	<input type="checkbox"/>	Plan	<input type="checkbox"/>
Corporate	<input type="checkbox"/>	Departmental	<input type="checkbox"/>		
Internal Audit and Assurance Section					
Risk Management Strategy					

1 Name of Policy (E1 and R1B)

2 Please indicate which category the activity specified in Section 1B above relates

- Developing a
- Adopting a
- Implementing a
- Revising a
- Designing a Public Service
- Delivering a Public Service

3 Please indicate nature of policy

If Departmental, please specify which department

Please provide a short title which describes the activity being undertaken by the Public Authority that is subject to Section 1(1) of the Rural Needs Act (NI) 2016.

The main objectives of the Risk Management Strategy are to:

- a) Provide a framework that enables Derry City & Strabane District Council to achieve its strategic objectives in a consistent and controlled environment;
- b) Improve decision making, planning and prioritisation of activities, and enhance the understanding of opportunities and threats;
- c) Safeguard the Council's assets – people, financial, property and information; and
- d) Create an environment where all staff assume responsibility for risk management.

**Please provide details of the aims and/or objectives of the Policy, Strategy, Plan or Public Service.**

Are there any Section 75 groups which might be expected to benefit from the intended policy? If so, explain how.

None

Who initiated or wrote the Policy?

The Plan has been prepared by officers within the Audit and Risk Section

Who is responsible for the implementation of the policy?

The management of risk is a key organisational responsibility. All Council members and employees have a major role to play in identifying and minimising inherent risks.

Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision? If yes, are they:

- Financial
- Legislative
- Other, please specify

Who are the internal/external stakeholders (actual or potential) that the policy will impact upon?

- Staff
- Service Users
- Other Public sector organisations
- Voluntary/Community/Trade Unions
- Other, Please specify

Is this policy associated with any other Council Policy(s)?

- Yes
- No

If yes, please state the related Policy(s)

Derry City and Strabane District's Inclusive Strategic Growth Plan 2017-2032, Corporate Health, Safety and Wellbeing Policy

**How does the policy contribute towards the achievement of the Council's strategic objectives?**

In the achievement of the objectives and priorities set out in the Corporate and Business Plans, Derry City & Strabane District Council will be exposed to various risks. The task of management is to respond to these risks so as to maximise the likelihood of achieving the objectives and priorities by managing the exposure to risk to an acceptable level.

**How does the Council interface with other bodies in relation to the implementation of this policy?**

The Council is required to prepare an Annual Governance Statement which is consistent with the principles of the CIPFA / SOLACE Framework Delivering Good Governance in Local Government. A copy of the code is on our website at [www.derrystrabane.com](http://www.derrystrabane.com). This statement explains how the Council meets the requirements of Regulation 4 of the Local Government (Accounts and Audit) Regulations (Northern Ireland) 2015 in relation to the publication of a statement on internal control.

**Available Evidence/Information (Section 75 Categories):**

<b>Religious Belief</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Political Opinion</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Racial Group</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Age</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Marital Status</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Sexual Orientation</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Men and Women Generally</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Disability</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.
<b>Dependant</b>	There is no qualitative or quantitative evidence to suggest that this plan would have an adverse differential impact on this S75 grouping.



Are there opportunities to better promote equality of opportunity for people within the Section 75 equalities categories? If Yes, provide details. If No, provide reasons.

Religious Belief	No
Political Opinion	No
Racial Group	No
Age	No
Marital Status	No
Sexual Orientation	No
Men and Women Generally	No
Disability	No
Dependant	No

Implementing these GI features will benefit all individuals and the community throughout the district physically, psychologically, emotionally and socio-economically.

Are there opportunities to better promote good relations between Section 75 equality categories through tackling prejudice and/or promoting understanding? If Yes, the policy will be considered by a Designated Working Group

Yes  
 No

Religious Belief	
Political Opinion	
Racial Group	

Is there evidence to suggest that this policy would promote positive attitudes towards people with a disability? If yes, provide details of opportunities identified.

Yes  
 No

Is there evidence to suggest that this policy would encourage the participation of people with a disability in public life? If yes, provide details of opportunities identified.

Yes

No

**PART 3. SCREENING DECISION**

If the decision is not to conduct an equality impact assessment, please provide details of the reasons.

The Local Government (Accounts and Audit) (Amendment) Regulations (Northern Ireland) 2006 requires all local government bodies to have a sound system of internal control which includes arrangements for the management of risk. This is a technical policy and therefore has no equality implications. for any of the Section 75 categories

If the decision is not to conduct an equality impact assessment the public authority should consider if the policy should be mitigated or an alternative policy be introduced. Please provide details.

If the decision is to subject the policy to an equality impact assessment, please provide details of the reasons.

**PART 4: MITIGATION**

Reasons to support your decision





**R1F** What definition of 'rural' is the Public Authority using in respect of Policy, Strategy, Plan or Public Service?

- Population Settlements of less than 5,000 (Default definition)
- Other Definition (Provide details and the rationale below)
- A definition of 'rural' is not applicable


Details of alternative definition of 'rural' used.

Rationale for using alternative definition of 'rural'

Reasons why a definition of 'rural' is not applicable.

**R2** Understanding the impact of the Policy, Strategy, Plan or Public Service

**R2A** Is the Policy, Strategy or Public Service likely to impact on people in rural areas?

- Yes
- No


Please explain how the Policy, Strategy, Plan or Public Service is likely to impact on people in rural areas.

**R2B**

if the Policy, Strategy, Plan or Public Service is likely to impact on people in rural areas *differently* from people in urban areas, please explain how it is likely to impact on people in rural areas differently.

**R2C**

**R2D** Please indicate which of the following rural policy areas the Policy, Strategy, Plan or Public Service is likely to primarily impact on.

- Rural Business
- Rural Tourism
- Rural Housing
- Jobs or Employment in Rural Areas
- Education or Training in Rural Areas
- Broadband or Mobile Communications in Rural Areas
- Health or Social Care Services in Rural Areas
- Poverty in Rural Areas
- Rural Crime or Community Safety
- Rural Development
- Agri-Environment
- Other

**R2E** If the reason to Section 2A was YES go to Section 3A

The Local Government (Accounts and Audit) (Amendment) Regulations (Northern Ireland) 2006 requires all local government bodies to have a sound system of internal control which includes arrangements for the management of risk. This is a technical policy and therefore has no differential implications for people in the rural areas as compared to people in urban areas.

**R2E** Please explain why the Policy, Strategy, Plan or Public Service is NOT likely to impact on people in rural areas

**3 Identifying the Social and Economic Needs of Persons in Rural Areas**

**R3A** Has the Public Authority taken steps to identify the social and economic needs of people in rural areas that are relevant to the Policy, Strategy, Plan or Public Service?

Yes

No

if the response is NO GO TO Section R3E

**R3B** Please indicate which of the following methods or information sources were used by the Public Authority to identify the social and economic needs of people in rural areas

- Consultation with Rural Stakeholders
- Consultation with Other Organisations
- Surveys or Questionnaires
- Published Statistics
- Research Papers
- Other Publications
- Other Methods or Information Sources (include details in Question 3C below)

**R3C** Please provide details of the methods and information sources used to identify the social and economic needs of people in rural areas including relevant dates, names of organisations, titles of publications, website references, details of surveys or consultations undertaken etc.

**R3D** Please provide details of the social and economic needs of people in rural areas which have been identified by the Public Authority?

If the response to Section 3A was YES GO TO Section 4A.

The Local Government (Accounts and Audit) (Amendment) Regulations (Northern Ireland) 2006 requires all local government bodies to have a sound system of internal control which includes arrangements for the management of risk. This is a technical policy and therefore has no differential implications for people in the rural areas as compared to people in urban areas.

**R3E** Please explain why no steps were taken by the Public Authority to identify the social and economic needs of people in rural areas?

**R4** Considering the Social and Economic Needs of Persons in Rural Areas

N/A

**R4A** Please provide details of the issues considered in relation to the Social and economic needs of people in rural areas.

**R5** Influencing the Policy, Strategy, Plan or Public Service

**R5A** Has the development, adoption, implementation or revising of the Policy, Strategy or Plan, or the design or delivery of the Public Service, been influenced by the rural needs identified? Yes  No

If the response is NO GO TO Section R5C

**R5B** Please explain how the development, adopting, implementation or revising of the Policy, strategy or Plan, or the design or delivery of the Public Service, has been influenced by the rural needs identified.

If the response to Section 5A was YES GO TO Section 6A.

The Local Government (Accounts and Audit) (Amendment) Regulations (Northern Ireland) 2006 requires all local government bodies to have a sound system of internal control which includes arrangements for the management of risk. This is a technical policy and therefore has no differential implications for people in the rural areas as compared to people in urban areas.

**R5C** Please explain why the development, adoption, implementation or revising of the Policy, Strategy or Plan, or the design or the delivery of the Public Service, has NOT been influenced by the rural needs identified

**R6** Documenting and Recording

Please tick below to confirm that the RNIA Template will be retained by the Public Authority and relevant information on the Section 1 activity compiled in accordance with paragraph 6.7 of the guidance.



I confirm that the RNIA Template will be retained and relevant information compiled.



**Conclusion:**

Council has deemed that the Risk Management Policy will have no adverse equality or rural impacts for any of the Section 75 groups. This is a technical policy which contains Council's commitment to comply with The Local Government (Accounts and Audit) (Amendment) Regulations (Northern Ireland) 2006. This legislation requires all local government bodies to have a sound system of internal control which includes arrangements for the management of risk. .







Derry City & Strabane  
District Council  
Council  
Choiragh, Derry &  
Cheriton, Strabane  
Derry City & Strabane  
District Council

## APPROVAL & AUTHORISATION

Undertaken/Screened by:	<i>Ormarie Begley</i>
Position/Job Title	<i>Insurance &amp; Risk Manager</i>
Division/Branch	<i>Insurance</i>
Date	<i>13/9/19</i>
Approved by:	<i>P. Danew</i>
Position/Job Title	<i>LEAD ASSURANCE OFFICER</i>
Division/Branch	<i>ASSURANCE</i>
Date	<i>13/09/19</i>

Undertaken/Screened by:

Position/Job Title

Division/Branch

Date

Approved by:

Position/Job Title

Division/Branch

Date

